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#2

DOCKET NO.: MSFY-0279/148585.1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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AUG 21 2001

In Re Application of:  
England et al.

Serial No.: 09/892,298

Group Art Unit: not assigned OFFICE OF PETITIONS

Filing Date: June 27, 2001

Examiner: not assigned

For: SECURE VIDEO CARD IN COMPUTING DEVICE HAVING DIGITAL  
RIGHTS MANAGEMENT (DRM) SYSTEM

Adjustment date: 12/12/2001 CBUI11  
08/20/2001 SLUANG1 00000012 09892298  
01 FC:122 -130.00 OP

DATE OF DEPOSIT: August 15 2001  
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ON THE DATE INDICATED ABOVE AND IS ADDRESSED  
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WASHINGTON, DC 20231.

Repln. Ref: 12/12/2001 CBUI11 0014551100  
DA#:233050 Name/Number:09892298  
FC: 704 \$130.00 CR

Steven H. Meyer  
Steven H. Meyer  
Registration No. 37,189

BOX DAC

Assistant Commissioner for Patents  
Washington DC 20231

PETITION TO ACCORD CORRESPONDENCE FILING DATE  
SHOWN ON EXPRESS MAIL LABEL "DATE-IN" (37 C.F.R. § 1.10 (c))

1. This is a petition to accord the correspondence in the above-identified

☒ application

☐ patent

the "date-in" of June 27, 2001 shown on the Express Mail label No. **EL650276177US**.

It is respectfully contended that the date of June 28, 2001 accorded this correspondence by the PTO is incorrect.

2. Submitted herewith is the statement of Steven H. Meyer, Esquire as to when the discrepancy was discovered and establishing that this petition is being filed promptly thereafter. [37 C.F.R. 1.10(c)(1)].

3. Attached is a true copy of the Patent Application Transmittal Letter that was mailed as Express Mail Label No. **EL650276177US** on **June 27, 2001** and that the number of the Express Mail was placed thereon prior to mailing. [37 C.F.R. 1.10(c)(2)].

08/20/2001 SLUANG1 00000012 09892298

01 FC:122

130.00 OP

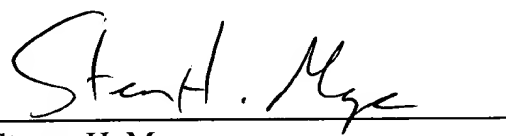
4. Attached is a true copy of the "Express Mail" mailing label showing the "date-in" of June 27, 2001 37 C.F. R. §1.10 (c) (3).
5. ☒ Other: Post card stamped by the U.S. Patent and Trademark Office and copy of Shipment History from U.S. Postal Service Website..
6. The petition fee (37 C.F.R. 1.17(h)) is paid as follows:
- ☒ Enclosed please find a check in the amount of \$130.00.
- ☐ Please charge Deposit Account No. 23-3050 in the amount of \$130.00.
- ☒ Please charge Deposit Account No. 23-3050 for any fee deficiency, or credit this account for any overpayment for this petition.

**REQUEST FOR REFUND OF PETITION FEE**

- ☒ As no defect exists in applicant's previous submission, a refund of the petition fee submitted herewith is respectfully requested. Please make refund by crediting Deposit Account No. 23-3050

Date:

August 15 2001

  
Steven H. Meyer  
Registration No. 37,189

Woodcock Washburn Kurtz  
Mackiewicz & Norris LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439



DOCKET NO. MSFT-02/148585.1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

England et al.

RECEIVED

AUG 21 2001

OFFICE OF PETITIONS

Serial No.: 09/892,298

Group Art Unit: not assigned

Filing Date: June 27, 2001

Examiner: not assigned

For: SECURE VIDEO CARD IN COMPUTING DEVICE HAVING DIGITAL RIGHTS MANAGEMENT (DRM) SYSTEM

DATE OF DEPOSIT:

August 15 2001

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

Steven H. Meyer  
Registration No. 37,189

BOX DAC

Assistant Commissioner for Patents  
Washington DC 20231

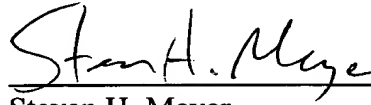
STATEMENT ATTESTING TO FACTS AS TO WHEN  
DISCREPANCY BETWEEN FILING DATE ACCORDED BY PATENT  
OFFICE AND DATE-IN ON EXPRESS MAIL LABEL WAS DISCOVERED

On June 27, 2001, the above-identified patent application was filed with the United States Patent Office in accordance with the "Filing of Correspondence Procedure by Express Mail" set forth in 37 C.F.R. §1.10 and as evidenced by the enclosed Express Mail Label No. EL650276177US. Applicant's undersigned attorney received the returned postcard enclosed with this application on July 9, 2001 bearing the Patent Office's mail room stamp, the accorded filing date and serial number of the above-identified application. Upon receipt thereof, Applicants' attorney noticed that there was a discrepancy between the Express Mail Label date-in of June 27, 2001 and the Patent Office's mail room stamp according this application a filing date of June 28, 2001.

In light of the forgoing and the evidentiary documentation attached herewith, Applicants respectfully request that the Patent Office accord this application the correct filing date of **June 27, 2001**.

Date:

August 15 2001



Steven H. Meyer

Registration No. 37,189

Woodcock Washburn Kurtz  
Mackiewicz & Norris LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439



DOCKET NO.: MSFT-0279-148585

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Paul England; Marcus Peinado and  
Mukund Sankaranarayan

Serial No.: Not yet assigned

Filing Date: Herewith

For: Secure Video Card in Computing Device Having Digital Rights Management  
(DRM) System

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

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AUG 21 2001

OFFICE OF PETITIONS

EXPRESS MAIL LABEL NO: EL650276177US  
DATE OF DEPOSIT: June 27, 2001

Box ☒ Patent Application  
☐ Provisional ☐ Design

Assistant Commissioner for Patents  
Washington DC 20231

Sir:

PATENT APPLICATION TRANSMITTAL LETTER

Transmitted herewith for filing, please find

☒ A Utility Patent Application under 37 C.F.R. 1.53(b).

It is a continuing application, as follows:

☐ continuation ☐ divisional ☐ continuation-in-part of prior application number  
\_\_\_\_/\_\_\_\_.

☐ A Provisional Patent Application under 37 C.F.R. 1.53(c).

☐ A Design Patent Application (submitted in duplicate).

☐ **Request for Nonpublication.** The invention(s) disclosed in the present application filed herewith has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing. Thus, pursuant to 35 U.S.C. §122(b)(2)(B)(i),

Applicant(s) request that the above-identified patent application not be published.

Including the following:

- ☐ Provisional Application Cover Sheet.
- ☒ New or Revised Specification, including pages 1 to 75 containing:
- ☒ Specification
  - ☒ Claims
  - ☒ Abstract
  - ☐ Substitute Specification, including Claims and Abstract.
- ☐ The present application is a continuation application of Application No. \_\_\_\_\_ filed \_\_\_\_\_. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Since none of those amendments incorporate new matter into the parent application, the present revised Specification also does not include new matter.
- ☐ The present application is a continuation application of Application No. \_\_\_\_\_ filed \_\_\_\_\_, which in turn is a continuation-in-part of Application No. \_\_\_\_\_ filed \_\_\_\_\_. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Although the amendments in the parent C-I-P application may have incorporated new matter, since those are the only revisions included in the present application, the present application includes no new matter in relation to the parent application.
- ☐ A copy of earlier application Serial No. \_\_\_\_\_ Filed \_\_\_\_\_, including Specification, Claims and Abstract (pages 1 - @@), to which no new matter has been added TOGETHER WITH a copy of the executed oath or declaration for such earlier application and all drawings and appendices. Such earlier application is hereby incorporated into the present application by reference.
- ☐ Please enter the following amendment to the Specification under the Cross-Reference to Related Applications section (or create such a section) : "This Application:

☐ is a continuation of ☐ is a divisional of ☐ claims benefit of U.S. provisional Application Serial No. \_\_\_\_\_ filed \_\_\_\_\_

☐ Signed Statement attached deleting inventor(s) named in the prior application.

☐ A Preliminary Amendment.

☒ 13 Sheets of Formal Drawings.

☒ Drawing view to publish: Figure 13.

☐ Petition to Accept Photographic Drawings.

☐ Petition Fee

☒ An ☒ Executed ☐ Unexecuted Declaration or Oath and Power of Attorney.

☒ An Associate Power of Attorney.

☒ An ☒ Executed ☐ Copy of Executed Assignment of the Invention to \_\_\_\_\_  
Microsoft Corporation

☒ A Recordation Form Cover Sheet.

☒ Recordation Fee - \$40.00.

☐ The prior application is assigned of record to \_\_\_\_\_

☐ Priority is claimed under 35 U.S.C. § 119 of Patent Application No. \_\_\_\_\_  
filed \_\_\_\_\_ in \_\_\_\_\_ (country).

☐ A Certified Copy of each of the above applications for which priority is claimed:

☐ is enclosed.

☐ has been filed in prior application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.

☐ Please enter the following amendment to the first sentence of the specification (or create such a sentence): "This application claims benefit of international

application No. \_\_\_\_\_, which was published under PCT Article 21(2) in English.”

- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 C.F.R. §1.27 as:
- ☐ an Independent Inventor
  - ☐ a Small Business Concern
  - ☐ a Nonprofit Organization.
- ☐ Diskette Containing DNA/Amino Acid Sequence Information.
- ☐ Statement to Support Submission of DNA/Amino Acid Sequence Information.
- ☐ Sequence listing consisting of pages \_\_\_\_\_.
- ☐ The computer readable form in this application \_\_\_\_\_, is identical with that filed in Application Serial Number \_\_\_\_\_, filed \_\_\_\_\_. In accordance with 37 CFR 1.821(e), please use the ☐ first-filed, ☐ last-filed or ☐ only computer readable form filed in that application as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant application. A paper copy of the Sequence Listing is ☐ included in the originally-filed specification of the instant application, ☐ included in a separately filed preliminary amendment for incorporation into the specification.
- ☐ Information Disclosure Statement.
- ☐ Attached Form 1449.
  - ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ A copy of Petition for Extension of Time as filed in the prior case.
- ☐ Appended Material as follows: \_\_\_\_\_.



☒ Return Receipt Postcard (should be specifically itemized).

☐ Other as follows: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**FEE CALCULATION:**

☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

				SMALL ENTITY		NOT SMALL ENTITY	
				RATE	FEE	RATE	FEE
PROVISIONAL APPLICATION				\$75.00	\$	\$150.00	\$
DESIGN APPLICATION				\$160.00	\$	\$320.00	\$
UTILITY APPLICATIONS BASE FEE				\$355.00	\$	\$710.00	\$710.00
UTILITY APPLICATION; ALL CLAIMS CALCULATED AFTER ENTRY OF ALL AMENDMENTS							
		No. Filed	No. Extra				
	TOTAL CLAIMS	34 - 20 =	14	\$9 each	\$	\$18 each	\$252.00
	INDEP. CLAIMS	4 - 3 =	1	\$40 each	\$	\$80 each	\$ 80.00
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			\$135	\$	\$270	\$
ADDITIONAL FILING FEE					\$		\$
TOTAL FILING FEE DUE					\$		\$1,042

☒ A Check is enclosed in the amount of \$ 1,042.

☒ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.

☐ The foregoing amount due.

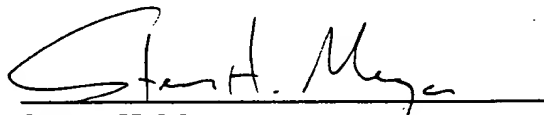
☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.

☒ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).

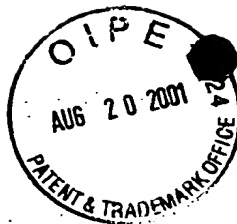
☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

**SHOULD ANY DEFICIENCIES APPEAR** with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: June 27, 2001

  
Steven H. Meyer  
Registration No. 37,189

Woodcock Washburn Kurtz  
Mackiewicz & Norris LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439



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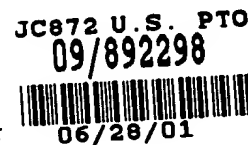
Paper: Patent Application Transmittal Letter (in dup. with Express Mailing label no. EL650276177US); application (pp. 1-75 including specification, claims and abstract); 13 sheets of formal drawings (Figs. 1, 2, 3, 4, 5A, 5B, 6, 7, 8, 9, 10, 11, 12, 13); executed Declaration and Power of Attorney; Associate Power; executed Assignment with Recordation Form Cover sheet (check in the amount of \$40.00) and check in the amount of 1,042.00 filing fee.

Applicant(s): England, et al.

Title: Secure Video Card in Computing Device Having Digital Rights Management (DRM) System

Serial No.: not yet assigned  
Filed: Herewith  
Docket No.: MSFT-0279/148585  
Date Sent: June 27, 2001

SHM:jgallagher



SJR/SHI/-EV (YS)

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Woodcock Washburn Kurtz  
Mackiewicz & Norris LLP

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- ACCEPTANCE, June 27, 5:00 pm, PHILADELPHIA PA 19102

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AUG 21 2001

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**UNITED STATES PATENT & TRADEMARK OFFICE**  
Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND											
1 Date of Request: <u>12-6-01</u>		2 Serial/Patent # <u>09/292,298</u>									
3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED	6 AMOUNT							
	Filing			\$							
	Amendment			\$							
	Extension of Time			\$							
	Notice of Appeal/Appeal			\$							
<input checked="" type="checkbox"/>	Petition	<u>2</u>	<u>8/20/01</u>	\$ <u>130<sup>00</sup></u>							
	Issue			\$							
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	Maintenance			\$							
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	Other			\$							
7 TOTAL AMOUNT OF REFUND			\$ <u>130<sup>00</sup></u>								
8 TO BE REFUNDED BY:											
10 REASON:		Treasury Check									
	Overpayment	Credit Deposit A/C #:									
	Duplicate Payment	9 <table border="1" style="display: inline-table; text-align: center; width: 150px;"><tr><td>2</td><td>3</td><td>--</td><td>3</td><td>0</td><td>5</td><td>0</td></tr></table>			2	3	--	3	0	5	0
2	3	--	3	0	5	0					
<input checked="" type="checkbox"/>	No Fee Due (Explanation):										
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11 REFUND REQUESTED BY:											
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OFFICE: _____											
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APPROVED: <u>[Signature]</u>		DATE: <u>12/11/01</u>									

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